

Annual Report

2005 - 2006

APEC Study Center

Columbia University



**Asia-Pacific
Economic Cooperation**

Letter from the Co-Directors

This was a unique year for Columbia University's APEC Study Center (ASC). Because of ASC Co-Director Merit Janow's role as the North American Member to the World Trade Organization (WTO) Appellate Body, Columbia was chosen to host the last of a series of five conferences celebrating the WTO's 10-year anniversary. This event, entitled "The WTO at 10: Governance, Dispute Settlement, and Developing Countries", was the largest of the regional conferences, and by far the largest that the ASC has ever organized. It spanned three days and 65 speakers, including distinguished academics, legal experts, journalists, current and former members of the WTO Appellate Body, trade and foreign ministers from five countries, former heads of state, former U.S. trade representatives, and other leaders.

This conference was particularly pertinent to the ASC's commitment to increasing understanding of the economies of the Asia Pacific region in both global and regional contexts. Throughout eight plenary and four keynote sessions, it considered some of the important issues facing the WTO's dispute settlement system, as well as the governance and operation of the WTO and some of the challenges faced by developing countries. The specific topics ranged from that of the opening dinner, held at the University's Low Rotunda, which considered "The Uruguay Round at the WTO: What Have We Achieved?" to its closing session on "Reflections on the WTO in the Context of Economic Globalization". Approximately 320 people from the academic, non-profit, governmental and private sectors attended portions or all of the conference. For a full listing of topics, as well as several conference papers and a forthcoming report, please visit the conference's web site at www.sipa.columbia.edu/wto.

While organizing a conference of this size certainly limited the ability of our Center to carry out as many events as in previous years, we nonetheless managed to maintain an active schedule. We organized and co-sponsored two other symposia, six brown bag lunches, and produced six discussion papers. Many of these activities have been undertaken in collaboration with the Center on Japanese Economy and Business of Columbia Business School and the Program in International Economic Policy of Columbia's School of International and Public Affairs. In addition, the co-directors and core faculty participated in a wide range of other meetings of direct relevance to the Center, as well as traveling to the Asia Pacific region to participate in numerous other conferences and seminars. The Center has also continued to support instruction on selected courses of relevance to the Asia Pacific region at Columbia.

The Center's accomplishments and activities are made possible by the commitment and energy of the faculty and staff directly involved, and the financial support provided by Japanese, Korean and American corporations, foundations, and individuals. The Corporate Sponsorship Program of annual contributions has been vital in ensuring the Center's ongoing momentum. In particular, we thank the Lotte Group of Korea for its ongoing support.

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I. INTRODUCTION

A. APEC AT COLUMBIA

Columbia University established the APEC Study Center (ASC) in 1994 at the request of the U.S. Department of State in response to the APEC Leaders' Education Initiative. This Initiative was introduced by President Clinton and endorsed by the leaders of the other APEC member nations at their historic meetings on Blake Island and in Seattle in November 1993. It calls on institutions of higher education in the United States and throughout the Asia Pacific to collaborate on Asia Pacific policy research, and to help establish, through exchanges, joint research, conferences and other contacts, an emerging region-wide network of personal and institutional relationships for all member economies.

Columbia University has long been a leading center for the study of China and Japan, with one of the oldest and most highly regarded programs of study in these areas, including one of the nation's largest concentrations of specialists in East Asian affairs. Over the years, the University has built upon its global reputation for academic excellence and policy relevance in these areas, adding the study of Korea, Southeast Asia, and U.S. relations with the countries of East Asia to its core expertise in Chinese and Japanese studies.

The faculty across most of Columbia's professional schools as well as the School of Arts and Sciences are engaged in the study of business, economics, development, health, political science, security, law, and other matters that impact the Asia Pacific region. Reflecting its broad mandate, the ASC is jointly administered under the School of International and Public Affairs and the Graduate School of Business. The ASC supports such activities where appropriate and requested, but many activities are highly decentralized. For example, Nobel Prize winner Professor Joseph Stiglitz, who is an ASC faculty member, has made major contributions to macroeconomics and monetary theory, to development economics and trade theory, to public and corporate finance, and to the theories of industrial organization and rural organization. He travels extensively and is actively involved in the Asia-Pacific region.

The ASC enhances the University's rich tradition of research, conferences, lecture programs, and teaching on the Asia Pacific region by serving as the focal point of study on issues of economic importance for the region. The focus of the Center's activities are twofold: the institutional arrangements and public policy issues related to the APEC forum itself; and second, consideration of the economic, trade, legal and political dimensions of the APEC members and their efforts at increased regional integration and cooperation. In this way, the Center is focused on policy matters affecting the region as a whole and in a multidisciplinary fashion.

The ASC also benefits greatly from its Visiting Fellows program, in which a limited number of international scholars spend a period in residence at Columbia University. Please go to Section VI for more details.

For more information, please see our webpage at www.gsb.columbia.edu/apec.

B. INSTITUTIONAL COOPERATION

APEC Study Centers in the U.S. are currently active at six other universities as well. They are: Brandeis University; University of California at Berkeley; University of California at San Diego; University of Hawaii / East-West Center; University of Washington; and Washington State University.

In order to improve interaction among U.S. APEC Consortium members and other individuals and groups concerned with APEC issues, Columbia's ASC collaborates in the development of a range of activities, including the organization of substantive conferences in connection with regular meetings of Consortium members; extending invitations to interested scholars at other APEC Study Centers to attend conferences; compiling information on academic classes on APEC-related subjects; and supporting faculty and student exchanges at universities and institutions that are Consortium members. None of the American ASCs receive direct funding from the U.S. government; their activities are thus constrained by budget limitations.

Internationally, most of the APEC members have established their own ASCs, typically funded by their governments and located at government-selected universities or research institutes. As in the United States, their broad purposes are to establish further economic cooperation and provide information to that country's officials, academics, business people, and the public. Columbia's ASC is a member of the international consortium of ASCs.

C. APEC: A BACKGROUND

APEC was established in 1989 to further enhance economic growth and prosperity for the region and to strengthen the Asia-Pacific community.

APEC is the only government institution whose membership includes virtually all the economies bordering on the Pacific Ocean, namely in Asia, the South Pacific and the Western hemisphere. APEC's 21 Member Economies are: Australia; Brunei Darussalam; Canada; Chile; People's Republic of China; Hong Kong, China; Indonesia; Japan; Republic of Korea; Malaysia; Mexico; New Zealand; Papua New Guinea; Peru; The Republic of the Philippines; The Russian Federation; Singapore; Chinese Taipei; Thailand; United States of America; and Viet Nam.

APEC is the only inter-governmental grouping in the world operating on the basis of non-binding commitments, open dialogue and equal respect for the views of all participants. Unlike the WTO or other multilateral trade bodies, APEC has no treaty obligations required of its participants. Decisions made within APEC are reached by consensus and commitments are undertaken on a voluntary basis. In addition, APEC has developed sets of basic, non-binding principles and standards to address a range of relevant policy issues.

Since its inception, APEC has worked to reduce tariffs and other trade barriers across the Asia-Pacific region, creating efficient domestic economies and dramatically increasing exports. Key to achieving APEC's vision are the "Bogor Goals", adopted by leaders at their 1994 meeting in Bogor, Indonesia, which advocate free and open trade and investment in the Asia-Pacific by 2010 for industrialized economies and 2020 for developing economies.

APEC's 21 members account for more than a third of the world's population (2.6 billion people), approximately 60% of world GDP (\$19,254 billion) and about 47% of world trade. It also represents the most economically dynamic region in the world, having generated nearly

70% of global economic growth in its first 10 years.

President William Clinton hosted the first Summit meeting of APEC member country leaders immediately following the APEC meeting in Seattle in 1993. This annual Summit Leaders meeting provides an invaluable opportunity for all the leaders to discuss issues of mutual concern, as well as a well-utilized venue for “side-bar” bilateral meetings among major leaders as needed.

Academic support for APEC activities is provided through the APEC Study Center consortium, of which Columbia University is a member; by the tripartite Pacific Economic Cooperation Committee, in which Professors Merit Janow and Hugh Patrick have actively participated as members of the U.S. Committee; and by the policy-oriented academic conferences and networking of PAFTAD (Pacific Trade and Development Program), of which Hugh Patrick was a founding member in 1968 and chair of its International Steering Committee until PAFTAD’s 30th annual conference in January 2005. Both are also founding members of the U.S. Asia Pacific Council, which along with the East-West Center is now taking an active role in organizing these various academic, business and governmental activities.

For more information about APEC, please see the APEC Secretariat’s website at www.apecsec.org.sg/.

II. INTERNATIONAL PROGRAMS AND ACTIVITIES

The APEC Study Center organized and sponsored the following conferences, workshops, and lectures in the 2005-2006 period. Reports are available for most of these events at www.gsb.columbia.edu/apec. Professor Merit Janow was deeply involved throughout the year in organizing a major conference “The WTO at 10” described below. In addition, she regularly went to Geneva to serve on the WTO Appellate Body deliberations on a range of cases. Subsection C thus primarily describes Professor Patrick’s activities.

A. CONFERENCES AND WORKSHOPS

1. *U.S. Military Presence in Northeast Asia: Providing Security for Economic Prosperity*, held on September 28, 2005 at the School of International and Public Affairs. General Leon J. LaPorte, Commander of the United States and United Nations Forces in Korea, gave an insightful presentation on the challenges of leading the U.S. and UN forces in Korea. He faces political pressure from South Korean student groups to reduce forces or leave the area, yet maintains support among South Korean leaders who value the defense against North Korea. Gen. LaPorte described the military’s plan to move out of the center of Seoul, which he believes will help improve relations with South Korean residents. He also showed maps which demonstrate the increasing movement through the Demilitarized Zone, both commercial and social, and the potential for significant changes as this movement increases. This event was co-sponsored with the Weatherhead East Asian Institute (WEAI) and the Center for Korean Research, and made possible with the support of LG Electronics, Inc.
2. *The WTO at 10: Governance, Dispute Settlement and Developing Countries*, held April 5-7, 2006 at Columbia University. This was the final and largest of a series of five conferences held at academic institutions worldwide considering the past, present and future of the

World Trade Organization and its Appellate Body on the occasion of its tenth year. Columbia was chosen as North American host for this conference because Merit Janow, Professor in the Practice of International Economic Law & International Affairs at SIPA, serves as the North American member to the WTO Appellate Body.

This conference brought together 65 speakers, including academics, legal experts, journalists, current and past members of the WTO Appellate Body, trade and foreign ministers from five countries, former heads of state, former U.S. trade representatives, and other leaders. Among non-U.S. APEC member economies, the conference included the participation of Hyun-Chong Kim, Korea's Minister for Trade; Seiichi Kondo, Japan's Ambassador for International Trade and Economy in its Ministry of Foreign Affairs; Mitsuo Matsushita, Professor Emeritus at Tokyo University and former member of the WTO Appellate Body; Mari Pangestu, Indonesia's Minister of Trade; Zhenyu Sun, China's Ambassador and Permanent Representative to the WTO; Yasuhei Taniguchi, Member of the WTO Appellate Body; and Ernesto Zedillo, Professor and Director of the Yale Center for the Study of Globalization, Yale University and former President of Mexico.

The conference opened with a dinner featuring a keynote discussion on "The Uruguay Round and the WTO: What Have We Achieved?", and continued over the next two days with eight panels and three more keynote sessions exploring other aspects of dispute resolution and other key international trade issues. Approximately 320 people from the academic, non-profit, governmental and private sectors attended portions or all of the conference. This event was made possible with the support of the Hewlett Foundation, the German Marshall Fund, American International Group, the United States Council on International Business, the Japan External Trade Organization, and many institutions in addition to the ASC within Columbia University: the Law School, the Center on Japanese Economy and Business, the Leitner Fund, the School of International and Public Affairs (SIPA), WEAI, the Jerome A. Chazen Institute of International Business, the Center for International Business Education and Research, and especially the President's Office, who hosted the opening dinner as a World Leaders Forum event. More details can be found in Appendices A and B at the end of this report and on the internet at www.sipa.columbia.edu/wto.

3. *Assessing North Korean Economic Reforms*, held at Columbia University's School of International and Public Affairs on April 20, 2006 and co-sponsored with the Korea Economic Institute (KEI), Center for Korean Research (CKR), and WEAI. The first panel considered North Korea's domestic economy, and was chaired by Professor Samuel Kim, Director of CKR, Senior Research Associate at WEAI, Columbia University. Scott Rembrandt, Director of Research and Academic Affairs, KEI, and Alexander Vorontsov, Brookings Institution, were the speakers, and Professor Yoon-Ha Yoo, Visiting Scholar from the KDI School of Public Policy and Management, served as the discussant. The second panel was on North Korea's International Economic Relations, and was chaired by Hugh Patrick, Co-Director, APEC Study Center. Sue Bremner, Political Unit Chief, Office of Korean Affairs, Bureau of East Asian and Pacific Affairs, U.S. Department of State, and Bradley Babson, formerly of the World Bank, were the speakers, and Dr. Robert Immerman, Senior Research associate at WEAI, served as the discussant. Ms. Bremner presented her views and those of the State Department in a very forthright manner, leading to a stimulating discussion as she engaged with both panelists and audience members who were trying to understand the complex positions and negotiations involved in the Six-Party talks.

B. BROWN BAG LUNCH LECTURE SERIES

The APEC Study Center has co-sponsored and actively promoted “Brown Bag” luncheon seminars, which are organized with the Weatherhead East Asian Institute and held at the School of International and Public Affairs. These highly informative and informal gatherings usually run from 12-1:30 pm, including a question-and-answer session. During 2005-2006, the APEC Study Center co-sponsored the following lectures:

1. *Building Ladders out of Chains: China's Technological Development Under Globalization*, Doug Fuller, Stanford Project on Regions of Innovation and Entrepreneurship, Asia Pacific Research Center, Stanford University, October 12, 2005
2. *Structural Change in the Financial Sector After the 1997-98 Financial Crisis in Korea*, Joon-Kyung Kim, Vice President for Macroeconomic and Financial Policy, Korea Development Institute, October 18, 2005
3. *The Race for Internet Leadership: Has Japan Become the World's Top I.T. Nation?*, Thomas Bleha, Former U.S. Foreign Service Officer, Author of May 2005 Foreign Affairs Article “Down to the Wire”, November 10, 2005
4. *Going Public in the Thailand Securities Market Before the Asian Crisis*, Fumiharu Mieno, Professor of Economics, Kobe University, January 25, 2006
5. *Should China Revalue the Yuan? Lessons from the Japanese Experience*, Claude Meyer, Associate Professor, Sorbonne University & Sciences-Po, Paris, February 23, 2006
6. *Co-opting Globalization: Local Politics and the Transformation of Corporate Governance in South Korea and Japan*, Christina Ahmadjian, Professor of Management, Hitotsubashi Graduate School of International Corporate Strategy, March 1, 2006

C. OTHER PROGRAMS AND MEETINGS

The APEC Study Center faculty members participate in a wide range of Asia Pacific intellectual activities, including meetings and conferences, which are too numerous to list in detail. Some of those events that involved the APEC Study Center specifically and/or its Co-Directors or Core Faculty are listed below.

1. *University Seminar on Southeast Asia in World Affairs*, New York, 2005-2006. Professors Hugh Patrick, Ann Marie Murphy of Seton Hall University, and David Denoon of New York University chaired the seminar. There were four meetings held during the academic year on the following topics and with the following presenters: “Current U.S. Policy in East and Southeast Asia”, David Denoon, Professor of Politics & Economics, New York University, Edward Masters, Former U.S. Ambassador to Indonesia, and Donald Weatherbee, Emeritus Professor of International Relations, University of South Carolina, November 2005; “The Role of American Foundations in Southeast Asia”, Suzanne Siskel, The Ford Foundation, Maureen Aung-Thwin, The Soros Foundation, Terry Lutz, The Luce Foundation, and Nancy Yuan, Asia Foundation, February 2006; “India's Foreign Policy and Its Links to Southeast Asia”, Ashley Tellis, April 2006; and “Thailand's Next Crisis: Politics, Foreign Policy and Insurgency” by Panitan Wattangkorn, Professor of International Relations at Chulalongkorn University and Visiting Professor, SAIS, Johns

Hopkins University, May 2006. Seminar members include academics and professional specialists in business, law, the media, and the non-profit world.

2. *Association for Asian Studies Finance Committee Meeting*, New York, September 15, 2005. As Chair of the Finance Committee, Professor Hugh Patrick provided a review of the investment strategy and its implementation applied by Legg Mason Investment Counsel (formerly Scudder Private Investment Counsel). Members discussed the undertaking of a fundraising campaign.
3. *Korea Economic Institute Advisory Council Meeting*, Korea Society, New York, NY, October 31, 2005. Participation by Professor Hugh Patrick.
4. *APEC 2005 Breakfast Briefing*, Asia Society, New York, NY, December 1, 2005. Professor Hugh Patrick served as a discussant for a briefing on APEC on the heels of the 2005 annual APEC meetings in Korea, November 18-19, and leading into the 6th WTO Ministerial Meetings in Hong Kong, December 13-18. Professor Patrick and other experts discussed the advancement of the Doha Development Agenda, anticorruption measures, world energy demand, the protection of intellectual property rights, and the future prospects for APEC as a viable multilateral economic forum to promote free trade and cooperation in the fields of economy, trade, investment and technology in the Asia Pacific region.
5. *3rd Annual U.S.–Asia Pacific Council Washington Conference, “New Challenges in the Transpacific Partnership”*, Washington, D.C., October 24-25, 2005. Participation by Professors Hugh Patrick and Merit Janow.
6. *WTO at 10: Dispute Settlement, Multilateral Negotiation, Regional Integration*, United Nations University, Aoyama, Tokyo, October 25-27, 2006. Professor Merit E. Janow chaired a panel on “Major Issues of DDA (Doha Development Agenda) Negotiation” on October 26.
7. *Korea’s Development: Perspectives on North and South*, Rice University, Houston, TX, November 5-6, 2005. Professor Hugh Patrick made a presentation on “Korea: A Sixty Year Perspective: Tragedies, Transformations, Successes and Challenges”.
8. *Freedom or Shari’a: Perspectives on Islam, Islamic Law, and Democracy*, Columbia University, New York, NY, January 31, 2006. Professor John Bresnan introduced a Weatherhead Policy Forum talk by Datuk Seri Anwar Ibrahim, Former Deputy Prime Minister of Malaysia; Professor Hugh Patrick moderated the discussion.
9. *China at the Crossroads: FX and the Capital Markets Policies for the Coming Decade*, Columbia University, New York, NY, February 2-3, 2006. Professor Hugh Patrick and several ASC Core Faculty members participated in this conference. Professor Patrick chaired a session on “The Chinese Banking Sector: Problems and Solutions”; Professor Lee Branstetter presented and Dr. Xiaobo Lu served as a discussant on the panel “China’s Financial Markets: An Overview”; Professor David Beim chaired a session on “Regulating International Capital Flows: The Case for China”; and Professor Frederic Mishkin participated in the final panel, “China’s Foreign Exchange Policy: What Will We Do? And What Should We Do?”.
10. *The Japanese Economy: Prospects and Problems*, Portland State University, Oregon, February 9, 2006. Lecture by Professor Hugh Patrick.

11. *Japan's Economic Future in Historical Perspective*, University of California at San Diego, February 15, 2006. Lecture by Professor Hugh Patrick.
12. *Roundtable Discussion on the Intellectual Underpinnings of U.S. Policy Toward Asia and East Asia Community Building*, Japan Center for International Exchange, New York, NY, March 1, 2006. Participation by Professor Hugh Patrick.
13. *Workshop on Japan's Role in East Asian Integration*, U.S. Department of State, Washington, DC, March 27, 2006. Professor Hugh Patrick participated in a panel on "Japan's Assets and Liabilities as a Leader in East Asian Integration".
14. *A Conversation with the WTO Appellate Body*, American Bar Association, New York, NY, April 5, 2006. Professor Merit E. Janow, together with four other members of the WTO Appellate Body, spoke about international trade law and the WTO dispute settlement system.
15. *Korea Economic Institute Advisory Council Meeting*, KEI office, Washington, DC, April 20, 2006. Participation by Professor Hugh Patrick.
16. *Meetings*, Seoul, Korea, May 5-12, 2006. Professor Hugh Patrick was in Korea on behalf of the APEC Study Center. He met with senior government officials, political leaders and academics focusing on Korean political and economic relations with the United States, Japan, China, and North Korea. On May 10 Professor Patrick spoke about the U.S.-Korea FTA negotiations at the Korea National Committee for Pacific Economic Cooperation (KOPEC) Forum, and then on May 11 served as discussant at a session on "China and Japan: Rivals or Collaborators" at *China and Emerging Asia: Reorganizing the Global Economy?*, a conference hosted by Seoul National University and the Korea Institute for International Economic Policy.
17. *Japan's Future Economic Directions*, Tokyo, Japan, May 15, 2006. As Director of the Center on Japanese Economy and Business (CJEB), Professor Patrick chaired this conference, which celebrated the 20th anniversary of CJEB. Many prominent leaders from the government, academia and business spoke at the conference, which included a keynote address by Toshihiko Fukui, Governor of the Bank of Japan.
18. *Meetings*, Tokyo, May 16-27, 2006. Professor Hugh Patrick, together with Professor David Weinstein and Ms. Alicia Ogawa, had a series of meetings with a wide range of government and central bank officials, business leaders and academics, including: Heizo Takenaka, Minister of Internal Affairs and Communications; Noboru Hatakeyama, Chairman and CEO, Japan Economic Foundation; Motoyuki Oka, President & CEO, Sumitomo Corporation; and others.
19. *PAFTAD 31 Conference, "Multinational Corporations and the Rise of a Network Economy"*, Guadalajara, Mexico, Jun 10-12, 2006. Professor Hugh Patrick served as a discussant for a session on "Governing Multinational Corporations in the Pacific" based on a paper by Professor Robert Scollay, University of Auckland, New Zealand. Other papers for the conference included "The Explosion of Outsourcing and the Rise of the Network Corporation" by Professor Sandor Boyson, University of Maryland; "Offshoring and Regional Production Networks in the Pacific Rim" by Professor Dieter Ernst, East-West Center; "ICTs and Inter-corporate Production Networks" by Professor Kenneth Kraemer, University of California at Irvine; The Emergence of Multinational Corporations from Developing Countries: Latin America" by Professor Clemente Ruiz-Duran,

Autonomous National University of Mexico and Professor Victor Lopez-Villafane, Monterrey Institute of Technology; “The Emergence of Multinational Corporations from Developing Countries: East and South East Asia” by Professor Edward K. Chen, Lingnan College, Hong Kong; “The Status of Core Multinational Firms from Mature Asian Economies” by Professor Shujiro Urata, Waseda University and Dr. Sang-Hoon Ahn, Korea Development Institute; “Multinational Corporations and Pacific Regionalism” by Dr. Philippa Dee, Australian National University; “The New Geo-economic Division of Labour in the Pacific Rim” by Professor Premachandra Athukorala, Australia National University; and “Attaining Corporate Social Responsibility in Pacific Economies” by Dr. Djisman Simandjuntak, Indonesian Institute of Corporate Directors. Dr. Hadi Soesastro, Professor at the Prasetya Mulya Graduate School of Management in Jakarta and Visiting Fellow, WEAI (see Section VI, “Academic Courses”), served as the Chairman of the PAFTAD International Steering Committee, and Dr. Juan J. Palacios served as the Chairman of the PAFTAD Mexican Committee.

III. DISCUSSION PAPERS

The APEC Study Center added six Discussion Papers to its series during the past year:

38. *PECC, APEC and East Asian Economic Cooperation: Prime Minister Ohira’s Legacy and Issues in the 21st Century* by Hugh Patrick, R.D. Calkins Professor of International Business Emeritus; Co-Director, APEC Study Center, Columbia University, July 2005.
39. *CEO Turnover, Firm Performance and Enterprise Reform in China: Evidence from New Micro Data* by Takao Kato, Professor of Economics and Presidential Scholar, Colgate University, Research Associate, Center on Japanese Economy and Business, Columbia Business School, and Research Associate, Tokyo Center for Economic Research; and Cheryl Long, Assistant Professor of Economics, Colgate University, Research Associate, School of Management, University of Electronic Science and Technology of China, July 2005.
40. *Asia’s Labor-Driven Economic Development, Flying-Geese Style: An Unprecedented Opportunity for the Poor to Rise?* by Terutomo Ozawa, Professor of Economics, Colorado State University, July 2005.
41. *East Asian Economic Cooperation and Integration: Japan’s Perspective* by Takatoshi Ito, Professor, Research Center for Advanced Science and Technology, The University of Tokyo, September 2005.
42. *What Determines Knowledge Sourcing From Host Locations of Overseas R&D Operations?: A Study of Global R&D Activities of Japanese Multinationals* by Jaeyong Song, Seoul National University; Kazuhiro Asakawa, Graduate School of Business Administration, Keio University; and Youngeun Chu, Carlson School of Management, University of Minnesota, April 2006.
43. *Korea: A Sixty Year Perspective* by Hugh Patrick, R.D. Calkins Professor of International Business Emeritus; Co-Director, APEC Study Center, Columbia University, April 2006.

IV. CORE FACULTY

The APEC Study Center draws upon a strong core faculty of Asia and discipline specialists within Columbia University: the Graduate School of Business, the School of International and Public Affairs, the School of Law, Barnard College, and the Graduate School of Arts and Sciences.

Co-Directors of the APEC Study Center:

Merit E. Janow, Professor in the Practice of International Economic Law & International Affairs; Director, Masters Program in International Affairs, School of International and Public Affairs (SIPA); Core Faculty, Weatherhead East Asian Institute (WEAI)

Hugh Patrick, R.D. Calkins Professor of International Business Emeritus, Director, Center on Japanese Economy and Business, Columbia Business School (CBS); Core Faculty, WEAI

(Professors Janow and Patrick are also members of the program committee of the U.S. Consortium of APEC Study Centers).

Other APEC Study Center faculty members at Columbia University:

Charles Armstrong, Associate Professor of History, Director, Center for Korean Studies, SIPA; Core Faculty, WEAI

Schon Beechler, Associate Professor, Department of Management, CBS; Core Faculty, WEAI

David Beim, Professor of Professional Practice, Department of Finance and Economics, CBS

Jagdish Bhagwati, University Professor of Political Science & Economics

Lee Branstetter, Associate Professor of Finance and Economics, CBS; Core Faculty, WEAI

John Bresnan*, Senior Research Scholar, SIPA; Executive Director, Pacific Basin Studies Program, WEAI

Gerald Curtis, Burgess Professor of Political Science, Department of Political Science, Columbia University; Director, Toyota Research Program, WEAI

Carol Gluck, George Sansom Professor of Japanese History, SIPA; Director, Expanding East Asian Studies Program; Director, Asia Perspectives Series, WEAI

R. Glenn Hubbard, Dean and Russell L. Carson Professor of Finance and Economics, CBS

Robert Immerman, Senior Research Scholar, WEAI

Xiaobo Lu, Director, WEAI; Associate Professor of Political Science, Barnard College

Curtis Milhaupt, Fuyo Professor of Japanese Law and Legal Institutions, Director, Center for Japanese Legal Studies, School of Law; Core Faculty, WEAI

Frederic Mishkin, Alfred Lerner Professor of Banking and Financial Institutions, CBS

Ann Marie Murphy, Adjunct Research Scholar, WEAI

Andrew Nathan, Class of 1919 Professor of Political Science and Chair of the Political Science Department, SIPA; Core Faculty, WEAI

Joseph Stiglitz, University Professor of Economics and Finance, CBS, Graduate School of Arts and Sciences, and SIPA; Co-Founder and Executive Director, Initiative for Policy Dialogue

David Weinstein, Carl S. Shoup Professor of the Japanese Economy, Department of Economics, Columbia University; Core Faculty, WEAI

Madeleine Zelin, Professor, Departments of History and East Asian Languages and Cultures, WEAI; Director, Columbia East Asian National Resource Center

* Sadly, John Bresnan passed away in May 2006.

V. VISITING FELLOW PROGRAM

Each year, the APEC Study Center hosts up to four Visiting Fellows at Columbia University. They engage in a wide variety of activities during their stay, including course work and the pursuit of individual research projects in cooperation with Columbia faculty members. They serve as important resources for faculty and students.

During 2005-06, the APEC Study Center hosted two Visiting Fellows: Ik Chul Jin from the Seoul Metropolitan Government and Tae Hyeong Kim from the Kyonggi Provincial Government.

The Center also benefits from Visiting Scholars programs at the Center on Japanese Economy and Business, the Weatherhead East Asian Institute and the Jerome A. Chazen Institute of International Business at the Columbia Business School.

During 2005-06, the Weatherhead East Asian Institute hosted a Visiting Fellow, Hadi Soesastro, Professor at the Prasetiya Mulya Graduate School of Management in Jakarta, Chair of the PAFTAD International Steering Committee, and Executive Director of the Center for Strategic and International Studies. Prof. Soesastro taught a fall semester course which provided students the opportunity to explore various aspects of developments in Southeast Asia, engaging in a broad and comprehensive examination and analysis of major countries and major trends in the region. The seminar course combined comparative analyses of major regional countries (Indonesia, Malaysia, Philippines, Thailand, Singapore and Vietnam) in various fields and an analysis of regional regime creation (ASEAN, ASEAN+3, APEC).

VI. ACADEMIC COURSES

There are a substantial number of courses taught at Columbia University's School of International and Public Affairs (SIPA) and School of Business (CBS) that are relevant to the APEC Study Center because of the combinations of their substantive and country specific or regional focus, including the following during the 2005-2006 academic year:

MAJOR ISSUES IN U.S. FOREIGN ECONOMIC AND TRADE POLICY

This large lecture course, offered for a number of years at SIPA, is taught by Merit E. Janow, Professor in the Practice of International Economic Law & International Affairs. The course provides students with a general introduction to a broad range of major international trade issues, including: economic and political perspectives on international trade; instruments of U.S. foreign economic and trade policy; major areas of coverage under multilateral and regional trade initiatives such as the Uruguay Round of Multilateral Trade Negotiations, NAFTA and APEC; and emerging issues in international economic and trade policy such as investment, trade and competition policy and the environment. As part of this course, students undertake a mock APEC negotiation on investment rules.

BUSINESS AND FINANCIAL MARKETS IN EAST ASIA

This second-year elective course has been offered at CBS by Lee G. Branstetter, Daniel W. Stanton Associate Professor of Business during spring semesters since 2002. This course is designed to provide future managers with the essential knowledge necessary to evaluate

opportunities and risks in East Asia. The course uses analytical tools drawn from several fields of economics and finance, business cases, and guest lectures by expert practitioners to focus on the key strengths that sustained economic growth in East Asia for decades, the weaknesses that undermined that growth in the late 1990s, and what lies ahead. Considerable attention is paid to recent developments in the Chinese economy and the prospects for continued growth in China over the next decade.

INTERNATIONAL EXPERIENCE – ASIA

This course is offered at CBS's Executive MBA Program by Professor Lee Branstetter during the spring semester. It is designed to provide future business leaders with the essential knowledge necessary to evaluate opportunities and risks in the People's Republic of China. The course uses analytical tools drawn from several fields of economics as well as business cases to focus on the key strengths that have sustained economic growth for two decades as well as the weaknesses that could undermine that growth in the post-WTO era. As this course includes a field trip to China, it will benefit from the expertise and insight of successful multinational executives, local industry experts, and Chinese business leaders in addition to guest experts on Chinese law and politics from Columbia University itself.

CHINA'S NEW MARKET PLACE

This seminar has been offered at SIPA in the fall semester since 2001, and will continue in the fall of 2006. It is taught by Daniel Rosen, who is an adjunct assistant professor at SIPA, former senior official in the National Economic Council of the White House, visiting fellow at the Institute for International Economics in Washington and leader of a China macro-strategic advisory firm based in New York. Students examine the main economic and policy issues affecting businesses operating in China today, and develop applied commercial and economic insights for the real world.

VII. ABOUT THE CO-DIRECTORS

MERIT E. JANOW is a leading expert in international trade and antitrust law and policy. She has extensive experience in academia, government and business, with an early specialization in the Asia-Pacific region.

Academic and Professional Responsibilities

For the past eleven years, Merit E. Janow has been a Professor at Columbia University's School of International and Public Affairs (SIPA) and Columbia Law School. She teaches advanced courses in international trade/WTO law, comparative antitrust law and international economic policy. She is also the Co-Director of Columbia's APEC Study Center, and for two years (2002-2004) she was Director of the Masters Program in International Affairs, which is the largest program of its kind in the United States, with over 700 students from around the world. She has served as Chair of Columbia University's Advisory Committee on Socially Responsible Investing, which is responsible for advising the Trustees on ethical and social issues that arise in the management of the University's endowment.

Professor Janow is currently one of the seven Members of the World Trade Organization's (WTO) Appellate Body, which is the court of final appeal for intergovernmental trade disputes in Geneva, Switzerland. She is the only North American Member and the first female

to serve on the Appellate Body. The Appellate Body hears final appeals on issues of law and legal interpretation in international trade disputes between countries that are members of the WTO and the trade agreements that are covered by WTO. The Members of the Appellate Body are selected by a vote of the member nations and customs unions of the WTO.

Previous Governmental & Private Sector Experience

From 1997 to 2000, Professor Janow served as the Executive Director of an international antitrust advisory committee to the Attorney General and the Assistant Attorney General for Antitrust, US Department of Justice. Prior to joining Columbia's faculty, Professor Janow was Deputy Assistant U.S. Trade Representative for Japan and China (1990-93). She was responsible for developing, coordinating and implementing U.S. trade policies and negotiating strategies towards Japan and China. At USTR, she was a key negotiator in a dozen sectoral trade agreements with Japan and China covering diverse areas such as intellectual property rights, market access, computers, telecommunications, legal services, structural impediments, glass, semiconductors, and paper products. She participated in more than 35 official trips to Japan and China. Early in her career, Professor Janow was a corporate lawyer specializing in cross-border mergers and acquisitions with the global law firm Skadden, Arps, Slate, Meagher & Flom in New York and before that she worked on international trade policy matters at a U.S. think tank.

Professor Janow is the author of several books and dozens of articles on international trade and antitrust law and policy, Japan and Asia Pacific legal and policy matters. She grew up in Tokyo, Japan, and is fluent in Japanese. She has a JD from Columbia Law School and a BA in Asian Studies from the University of Michigan, Ann Arbor. For the last three years, Professor Janow has been on the Board of Directors of two global mutual funds of the American Funds. For both funds she serves as Chair of the Contracts and the Proxy Committees and as a member of the Audit Committees. In 2005, she joined the Board of the NASDAQ Stock Market and Japan Society.

HUGH PATRICK is Director of the Center on Japanese Economy and Business at Columbia Business School, Co-Director of Columbia's APEC Study Center, and R.D. Calkins Professor of International Business Emeritus. He joined the Columbia faculty in 1984 after some years as Professor of Economics and Director of the Economic Growth Center at Yale University. He completed his B.A. at Yale University in 1951, earned M.A. degrees in Japanese Studies (1955) and Economics (1957) and the Ph.D. in Economics at the University of Michigan in 1960. He has been a visiting professor at Hitotsubashi University, University of Tokyo and University of Bombay.

Professor Patrick has been awarded Guggenheim and Fulbright fellowships and the Ohira Prize. His professional publications include sixteen books and some sixty articles and essays. His major fields of published research on Japan include macroeconomic performance and policy, banking and financial markets, government-business relations, and Japan-United States economic relations. His most recent book, co-authored and co-edited with Takatoshi Ito and David Weinstein, is *Reviving Japan's Economy: Problems and Prescriptions* (MIT Press, September 2005). Other publications include: *Crisis and Change in the Japanese Financial System* (with Takeo Hoshi); *The Japanese Main Bank System* (with Masahiko Aoki); *The Financial Development of Japan, Korea and Taiwan* (with Yung Chul Park); *Pacific Basin Industries in Distress: Structural Adjustment and Trade Policy in Nine Industrialized Economies*; *Regulating International Financial Markets: Policies*

and Issues (with Franklin Edwards); *Japan's High Technology Industries: Lessons and Limitations of Industrial Policy*; and *Asia's New Giant - How the Japanese Economy Works* (with Henry Rosovsky).

Patrick is actively involved in professional and public service. He served as one of the four American members of the binational Japan-United States Economic Relations Group appointed by President Carter and Prime Minister Ohira, 1979-1981. He has testified before Congressional committees on numerous occasions. He is on the Board of Directors of the United States National Committee for Pacific Economic Cooperation, and the U.S. Asia Pacific Council. He succeeded Dr. Saburo Okita and served as chairman of the International Steering Committee for the conference series on Pacific Trade and Development (PAFTAD) between 1985-2005, having served on the Committee since PAFTAD's inception in 1968. He was on the Board of the Social Science Research Council, 1982-88, and served as its chairman 1985-88. He was a member of the Board of Directors of the Japan Society for seven three-year terms. In November 1994 the Government of Japan awarded him the Order of the Sacred Treasure, Gold and Silver Star (Kunnitō Zuihōshō). He was awarded an honorary doctorate of Social Sciences by Lingnan University, Hong Kong in 2000.

VIII. PROGRAM SUPPORT

Resources for the APEC Study Center's basic infrastructure are provided by Columbia University. Corporation and foundation support in the United States and Asia are sought for specific programs and projects. The APEC Study Center thanks The Lotte Group of Korea in particular for their support.

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IX. APPENDICES

APPENDIX A

WTO at 10: Governance, Dispute Settlement, and Developing Countries

Columbia University

April 5- 7, 2006

Program

Wednesday, April 5

Opening Dinner (*by invitation only*): **A World Leaders Forum Event**

Location: Columbia University Rotunda, Low Library

6:00-6:30 p.m. Reception

6:30 p.m. ***Dinner and Discussion:***
The Uruguay Round and the WTO: What Have We Achieved?

Welcome: **Lee C. Bollinger**, *President, Columbia University*

Chair: **Merit E. Janow**, *Professor in the Practice of International Economic Law & International Affairs, Columbia University; Member, WTO Appellate Body*

Keynote Speakers: **Jagdish Bhagwati**, *University Professor, Columbia University*
Carla A. Hills, *Chairman & CEO, Hills & Company International Consultants; Former U.S. Trade Representative*
Peter Sutherland, *Chairman, Goldman Sachs International; Chairman, BP plc; Former Director General, GATT & WTO*
Clayton Yeutter, *Of Counsel, Hogan & Hartson LLP; Former U.S. Secretary of Agriculture; Former U.S. Trade Representative*

Thursday, April 6

8:15 a.m. Breakfast and Registration
Location: Casa Italiana, 1161 Amsterdam Avenue at 117th Street

8:50-9:00 a.m. **Welcome:**
Lisa Anderson, *Dean and James T. Shotwell Professor of International Affairs, School of International and Public Affairs, Columbia University*

9:00-10:45 a.m. **Session 1:**
Decision Making at the WTO: An Analysis of a Member-Driven Organization

Chair: **Merit E. Janow**, *Professor in the Practice of International Economic Law & International Affairs, Columbia University; Member, WTO Appellate Body*

Discussion: **Stuart Harbinson**, *Special Adviser, Office of the Director General, WTO*
Hyun-Chong Kim, *Minister for Trade, Republic of Korea*
Amina Mohamed, *Chair, WTO General Council; Ambassador of the Republic of Kenya to the WTO*
Mary Robinson, *Professor in the Practice of Public Affairs, Columbia University; Executive Director, Realizing Rights: The Ethical Globalization Initiative;*

Former President, Ireland
Zhenyu Sun, *Ambassador and Permanent Representative of the People's Republic of China to the WTO*

10:45-11:00 a.m. Coffee Break

11:00-12:30 p.m. **Session 2:**
Examining the Dispute Settlement System: How Has It Performed?

Chair: **Yasuhei Taniguchi**, *Member, WTO Appellate Body*

Discussion: **John H. Jackson**, *University Professor of Law, Georgetown University Law Center*
Julio A. Lacarte, *Former Member and Chairman, WTO Appellate Body*
George A. Bermann, *Jean Monnet Professor of EU Law and Walter Gellhorn Professor of Law, Columbia Law School* (discussing paper by **Petros C. Mavroidis**)
Frieder Roessler, *Executive Director, Advisory Centre on WTO Law*
Werner Zdouc, *Director, Appellate Body Secretariat*

12:45-2:30 p.m. **Luncheon and Discussion:**
The WTO and Developing Countries

Location: Faculty House, 400 West 117th Street

Chair: **Jeffrey D. Sachs**, *Director, Earth Institute at Columbia University; Quetelet Professor of Sustainable Development; Professor of Health Policy and Management, Columbia University; Special Advisor to UN Secretary-General Kofi Annan*

Keynote Speakers: **Ngozi Okonjo-Iweala**, *Minister of Finance, Federal Republic of Nigeria*
Mari Elka Pangestu, *Minister of Trade, Republic of Indonesia*

2:45-4:15 p.m. **Session 3:**
Lessons from Experience: Operation of the Panel Process and Appellate Review

Location: Casa Italiana, 1161 Amsterdam Avenue at 117th Street

Chair: **Luiz Olavo Baptista**, *Member, WTO Appellate Body*

Discussion: **William J. Davey**, *Professor of Law, University of Illinois College of Law*
Valerie Hughes, *Partner, Gowling Lafleur Henderson LLP; Former Director, WTO Appellate Body Secretariat*
Mitsuo Matsushita, *Professor Emeritus, Tokyo University; Former Member, WTO Appellate Body*
Andrew L. Stoler, *Executive Director, Institute for International Business, Economics & Law, University of Adelaide; Former Deputy Director-General, WTO*
John M. Weekes, *Senior Policy Advisor, Sidley Austin LLP; Former Canadian Ambassador to the WTO*

4:20-5:45 p.m. **Session 4:**
WTO Case Law in International Law Context

Chair: **Georges Abi-Saab**, *Member, WTO Appellate Body*

Discussion: **Jose E. Alvarez**, *Hamilton Fish Professor of International Law & Diplomacy, Columbia Law School*
Florentino P. Feliciano, *Senior Associate Justice (Ret.), Supreme Court of the Philippines;*

Former Member, WTO Appellate Body; Senior Counsel, SyCip Salazar Hernandez & Gatmaitan

Martti Koskenniemi, *Professor, Academy of Finland and University of Helsinki*

Pieter Kuijper, *Director - Principal Legal Advisor, European Commission*

Patricia M. Wald, *Judge (Ret.), U.S. Court of Appeals*

6:30-9:00 p.m.

Dinner and Discussion:

Managing the Challenges Ahead

Location: The Terrace in the Sky Restaurant, 400 West 119th Street

Welcome: **David M. Schizer**, *Dean and Lucy G. Moses Professor of Law, Columbia Law School*

Chair: **Hugh Patrick**, *Director, Center on Japanese Economy and Business; R.D. Calkins Professor of International Business Emeritus, Columbia Business School*

Keynote Speakers: **Hyun-Chong Kim**, *Minister for Trade, Republic of Korea*
Susan Schwab, *Deputy U.S. Trade Representative*
Christine Lagarde, *Minister of Foreign Trade, France*

Discussants: **Albert Fishlow**, *Professor of International and Public Affairs; Director, Institute of Latin American Studies; Director, Center for Brazilian Studies, Columbia University*
Arvind Panagariya, *Bhagwati Professor of Indian Political Economy, School of International and Public Affairs, Columbia University*
Joseph E. Stiglitz, *University Professor; Executive Director, Initiative for Policy Dialogue, Columbia University*

Friday, April 7

8:15 a.m.

Breakfast and Registration

Location: Casa Italiana, 1161 Amsterdam Avenue at 117th Street

9:00-10:45 a.m.

Session 5:

Considering Remedies

Chair: **Kyle Bagwell**, *Kelvin J. Lancaster Professor of Economic Theory and Professor of Economics and Finance, Columbia University*

Discussion: **Gary Horlick**, *Partner, Wilmer Cutler Pickering Hale and Dorr, LLP*
Robert Lawrence, *Albert L. Williams Professor of International Trade and Investment, John F. Kennedy School of Government, Harvard University*
Bruce Wilson, *Director, WTO Legal Affairs Division*
Alan Wolff, *Partner, Dewey Ballantine LLP*

10:45-11:00 a.m.

Coffee Break

11:00-12:45 p.m.

Session 6:

The Dispute Settlement System in the Next Ten Years

Chair: **Julio A. Lacarte**, *Former Member and Chairman, WTO Appellate Body*

Discussion: **Jane Bradley**, *Adjunct Professor and Deputy Director Institute of International Economic Law, Georgetown University Law*
Steve Charnovitz, *Associate Professor, George Washington University Law School*
Robert Howse, *Alene & Allan F. Smith Professor of Law, The University of Michigan*

Law School

David Palmeter, *Senior Counsel, Sidley Austin LLP*

1:00-2:30p.m.

Luncheon and Discussion:

The WTO's Biggest Problem at 10: Surviving the Doha Round

Location: Faculty House, 400 West 117th Street

Chair: **Charles W. Calomiris**, *Henry Kaufman Professor of Financial Institutions in the Faculty of Business, Columbia Business School*

Keynote Speaker: **Ernesto Zedillo**, *Director, Yale Center for the Study of Globalization; Professor in the Field of International Economics and Politics, Yale University; Former President, Mexico*

Discussant: **Rufus Yerxa**, *Deputy Director-General, WTO*

2:45-4:00 p.m.

Session 7:

Implementation of WTO Rulings: The Role of Courts and Legislatures in the U.S. and Other Jurisdictions

Location: Casa Italiana, 1161 Amsterdam Avenue at 117th Street

Chair: **Giorgio Sacerdoti**, *Member, WTO Appellate Body*

Discussion: **Thomas J. Aquilino, Jr.**, *Senior Judge, U.S. Court of International Trade*
George A. Bermann, *Jean Monnet Professor of EU Law and Walter Gellhorn Professor of Law, Columbia Law School*
Donald McRae, *Hyman Soloway Chair in Business and Trade Law, University of Ottawa*
Sharyn O'Halloran, *George Blumenthal Professor and Professor of Political Science and International and Public Affairs, Columbia University*
Ernst-Ulrich Petersmann, *Professor of International and European Law, European University Institute*

4:00-5:30 p.m.

Session 8:

Major Themes/Conclusions from the Conference and Reflections on the WTO in the Context of Economic Globalization

Chair: **Grant Aldonas**, *Partner, Akin Gump Strauss Hauer & Feld LLP*

Discussion: **Paul Blustein**, *Staff Writer, The Washington Post*
John H. Jackson, *University Professor of Law, Georgetown University Law Center*
Seiichi Kondo, *Ambassador, International Trade and Economy, Ministry of Foreign Affairs, Japan*
Keith Rockwell, *Director, Information and Media Relations, WTO*
Martin Wolf, *Associate Editor, Chief Economics Commentator, Financial Times*

5:30 p.m.

Closing Remarks:

Merit E. Janow, *Professor in the Practice of International Economic Law & International Affairs, Columbia University; Member, WTO Appellate Body*

APPENDIX B

WTO at 10: Governance, Dispute Settlement, and Developing Countries

Working Papers

Available online at the WTO at 10 website, www.sipa.columbia.edu/wto/html/papers.html

- William J. Davey, The Panel Process: Lessons From Experience (pdf)
- Valerie Hughes, WTO At 10: Governance, Dispute Settlement And Developing Countries (PowerPoint)
- John Jackson, The Changing Fundamentals of International Law and Ten Years of the WTO; Sovereignty, the WTO, and Changing Fundamentals of International Law (pdf)
- Mitsuo Matsushita, A Review of Major WTO Jurisprudence (pdf)
- Petros Mavroidis, Legal eagles? A look into 10 years of AB case-law (pdf)
- David Palmeter, The WTO Dispute Settlement System In The Next Ten Years (pdf)
- Mari Pangestu, WTO and the Developing Countries: an Indonesian Perspective (pdf)
- Ernst-Ulrich Petersmann, Implementation Of WTO Rulings: The Role Of Courts And Legislatures In The Us And Other Jurisdictions (EC, China) (pdf)
- Sharyn O'Halloran, U.S. Implementation of WTO Decisions (PowerPoint)
- Frieder Roessler, Why Only a Small Part of WTO Law is Enforced Through the WTO Dispute Settlement Procedures and Whether Something Should be Done About This (pdf)
- Joseph Stiglitz, Aid for Trade (pdf)
- Andrew L. Stoler, Suggestions for Enhancing the Operation of the WTO Panel Process and Appellate Review (pdf)
- Bruce Wilson, Remedies In WTO Dispute Settlement (PowerPoint)
- Alan Wolff, Remedy in WTO Dispute Settlement (pdf)